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## NOTICE OF ALLOWANCE AND FEE(S) DUE

22913

7590

01/14/2011

01/14/2011

EXAMINER
FRANK, RODNEY T

FRANK, KODNET I

PAPER NUMBER

ART UNIT

DATE MAILED: 01/14/2011

Workman Nydegger 1000 Eagle Gate Tower 60 East South Temple Salt Lake City, UT 84111

| APPLICATION NO. | ATION NO. FILING DATE FIRST NAMED INVENTOR |             | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|--|-------------|---------------------|------------------|
| 10/598.045      | 10/24/2007                                 | Markus Hund | 16056.15            | 9493             |

TITLE OF INVENTION: SCANNING PROBE MICROSCOPE

| I | APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|---|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
|   | nonprovisional | YES          | \$755         | \$300               | \$0                  | \$1055           | 04/14/2011 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

|   | ed below or directed oth   |  |  |                   |  |  |   | correspondence address as rate "FEE ADDRESS" for  |
|---|--|--|--|-------------------|--|--|---|---|
| CURRENT CORRESPOND  |  | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, mus have its own certificate of mailing or transmission. |  |                   |  |  |   |   |
| Workman Nyd<br>1000 Eagle Gate<br>60 East South To  | e Tower<br>emple   | /2011  |  | States<br>addre   | eby certify that this<br>Postal Service wessed to the Mail | ith sufficient posta<br>Stop ISSUE FEE | al is being<br>age for first<br>E address | nission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.                         |
| Salt Lake City, l   | UT 84111   |  |  |                   |  |  |   | (Depositor's name)  |
|   |  |  |  |                   |  |  |   | (Signature)   |
|   |  |  |  |                   |  |  |   | (Date)  |
| APPLICATION NO.   | FILING DATE  |  | FIRST NAMED INVEN  | TOR               |  | ATTORNEY DOCK                          | KET NO.                                   | CONFIRMATION NO.  |
| 10/598,045<br>TITLE OF INVENTION  | 10/24/2007<br>J: SCANNING PROBE N  | MICROSCOPE   | Markus Hund  |                   |  | 16056.15                               |   | 9493  |
| APPLN. TYPE   | SMALL ENTITY   | ISSUE FEE DUE  | PUBLICATION FEE D  | UE                | PREV. PAID ISSUE   | FEE TOTAL FE                           | EE(S) DUE                                 | DATE DUE  |
| nonprovisional  | YES  | \$755  | \$300  |                   | \$0  |  | 055                                       | 04/14/2011  |
| EXAM  | MINER  | ART UNIT   | CLASS-SUBCLASS   |                   |  |  |   |   |
| FRANK, R  | RODNEY T   | 2856   | 073-105000   |                   |  |  |   |   |
|   | registered attorney or agent) and the names of up to   |  |  |                   |  |  |   |   |
| recordation as set fort (A) NAME OF ASSI  | th in 37 CFR 3.11. Comp<br>GNEE  | ified below, no assignee oletion of this form is NO categories (will not be pre-   | T a substitute for filing (B) RESIDENCE: (C  | g an as<br>CITY a | ssignment.<br>and STATE OR C                               | OUNTRY)                                |   | up entity Government  |
| 4a. The following fee(s) are submitted:  Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies |  |  | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)  ☐ A check is enclosed. ☐ Payment by credit card. Form PTO-2038 is attached. ☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). |                   |  |  |   |   |
| • •   | ns SMALL ENTITY state  | ıs. See 37 CFR 1.27.   | ☐ b. Applicant is no   | longe             | er claiming SMAL   | L ENTITY status.                       | See 37 CF                                 | R 1.27(g)(2).   |
| NOTE: The Issue Fee an interest as shown by the   | nd Publication Fee (if requeecords of the United Sta   | uired) will not be accepte<br>tes Patent and Trademark   | d from anyone other the Office.  | an the            | e applicant; a regi  | stered attorney or a                   | igent; or the                             | e assignee or other party in  |
| Authorized Signature  |  |  |  |                   | Date   |  |   |   |
| Typed or printed name   |  |  |  |                   |  | 0                                      |   |   |
| an application Confiden   | tiality is governed by 35 d application form to the ions for reducing this bu. /irginia 22313-1450. DC 813-1450. | ILS C 122 and 37 CFR   | 1.14 This collection is  | e ectir           | mated to take 12 n   | ninutes to complete                    | e includina                               | by the USPTO to process) g gathering, preparing, and he you require to complete rtment of Commerce, P.O. or Patents, P.O. Box 1450, |

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| APPLICATION N                                    | TION NO. FILING DATE  |            | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.            | CONFIRMATION NO. |  |  |
|--|-----------------------|------------|----------------------|--------------------------------|------------------|--|--|
| 10/598,045                                       | 10/24/2007            |            | Markus Hund          | 16056.15                       | 9493             |  |  |
| 22913  | 7590                  | 01/14/2011 |                      | EXAM                           | INER             |  |  |
| Workman N  | Workman Nydegger      |            |                      |                                | FRANK, RODNEY T  |  |  |
| 1000 Eagle (                                     | 1000 Eagle Gate Tower |            |                      |                                | PAPER NUMBER     |  |  |
| 60 East South Temple<br>Salt Lake City, UT 84111 |                       |            |                      | 2856<br>DATE MAILED: 01/14/201 | 1                |  |  |

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 553 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 553 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

|   | Application No.  | Applicant(s)  |                  |
|---|--|---|------------------|
|   | 10/598,045   | HUND ET AL.   |                  |
| Notice of Allowability  | Examiner   | Art Unit  |                  |
|   | RODNEY T. FRANK  | 2856  |                  |
| T. MAN NO DATE (V):   |  |   |                  |
| The MAILING DATE of this communication appeal All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIOF the Office or upon petition by the applicant. See 37 CFR 1.313 | (OR REMAINS) CLOSED in<br>or other appropriate commu<br>IGHTS. This application is s | this application. If not included inication will be mailed in due cou | rse. <b>THIS</b> |
| 1. $igspace$ This communication is responsive to <u>the amendment filed</u>   | 29 November 2010.  |   |                  |
| 2. ☑ The allowed claim(s) is/are <u>30-48</u> .   |  |   |                  |
| 3. Acknowledgment is made of a claim for foreign priority ur  a) All b) Some* c) None of the:   |  | or (f).   |                  |
| 1. Certified copies of the priority documents have  |  | n Na  |                  |
| 2. Certified copies of the priority documents have  | • •  |   | from the         |
| 3. Copies of the certified copies of the priority doc   | cuments have been received   | in this national stage application                                    | from the         |
| International Bureau (PCT Rule 17.2(a)).  |  |   |                  |
| * Certified copies not received:  |  |   |                  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.   |  | a reply complying with the require                                    | ements           |
| 4. A SUBSTITUTE OATH OR DECLARATION must be subm<br>INFORMAL PATENT APPLICATION (PTO-152) which give  |  |   | CE OF            |
| 5. CORRECTED DRAWINGS ( as "replacement sheets") mus  | st be submitted.   |   |                  |
| (a) I including changes required by the Notice of Draftspers  | on's Patent Drawing Review   | ( PTO-948) attached   |                  |
| 1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date  |  |   |                  |
| (b) ☐ including changes required by the attached Examiner's<br>Paper No./Mail Date  | s Amendment / Comment or   | in the Office action of   |                  |
| Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t  |  |   | :k) of           |
| 6. DEPOSIT OF and/or INFORMATION about the depo-<br>attached Examiner's comment regarding REQUIREMENT   |  |   | the              |
|   |  |   |                  |
| Attachment(s)   |  |   |                  |
| 1. Notice of References Cited (PTO-892)   |  | formal Patent Application   |                  |
| 2. Notice of Draftperson's Patent Drawing Review (PTO-948)  |  | ummary (PTO-413),   |                  |
| 3. ☐ Information Disclosure Statements (PTO/SB/08),   | 7. 🔲 Examiner's  | Mail Date<br>Amendment/Comment  |                  |
| Paper No./Mail Date  4. ☐ Examiner's Comment Regarding Requirement for Deposit  | 8. ⊠ Examiner's  | Statement of Reasons for Allowar                                      | ıce              |
| of Biological Material  | 9. ☐ Other   |   |                  |
|   |  |   |                  |
|   |  |   |                  |
|   |  |   |                  |
|   |  |   |                  |

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Art Unit: 2856

### **DETAILED ACTION**

## Allowable Subject Matter

1. Claims 30-48 are allowed.

2. The following is an examiner's statement of reasons for allowance: Claims 30, 35, 36, 39, 43, and 47 all require a scanning probe microscope with a reaction chamber attached to a base frame with a sample mount arranged in it, in combination with the other limitations of the respective independent claims 30, 35, 36, 39, 43, and 47, which are not disclosed nor deemed to be obvious in view of the prior art of record. Claims 44 a scanning probe microscope that has an attached reaction chamber, in combination with the method disclosed, is not disclosed nor deemed obvious in view of the prior art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to RODNEY T. FRANK whose telephone number is (571)272-2193. The examiner can normally be reached on M-F 9-5:30 p.m. EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Hezron E. Williams can be reached on (571) 272-2208. The fax phone

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Art Unit: 2856

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Hezron Williams/ Supervisory Patent Examiner, Art Unit 2856

/R. T. F./ Examiner, Art Unit 2856 January 13, 2011